

## Who is FinServ?

In today's market environment, alternative asset managers require a robust operational infrastructure. FinServ Consulting was founded on the belief that leading alternative asset managers need a robust operational infrastructure in order to manage current assets and continue to create innovative products in diverse strategies and markets. Firms are growing more complex, not less. In such a highly scrutinized industry, managers can't afford to operate with rudimentary technologies to handle compliance, risk, asset flows, compensation, reporting and other crucial business processes across the front-, middle- and back-office

Over the past decade, our team members have gained deep expertise in complex alternative strategies and instruments, and the systems and processes required to manage them. Our approach goes beyond trading and settlement: We believe that firms need to focus as much on the middle- and back-office as they do on their front-office infrastructures.

FinServ offers a clear vision of where funds are today, and where they need to be going in the future. Our mission is to help investment managers build optimal and first-class operating environments to support an opportunistic style while ensuring that they can withstand inevitable market volatility, as well as growing demands for transparency, regulation and compliance.

## Our Services

FinServ Consulting offers business consulting, systems development and systems integration services to address the needs of alternative asset management firms, global banks, fund administrators and software vendors.

In each engagement, we work closely with clients to assess their goals and identify the appropriate technologies for their needs, leveraging our extensive experience in alternative asset management and financial services to ensure cost-effective, best-practice solutions. We utilize disciplined project management methodologies and tools to support each implementation, offering regular communication, status reporting, issue tracking, risk management and milestone reporting to ensure projects are completed on time and on budget. We value transparency and openness, and aim to exceed client expectations with every project.

### Business Consulting

Our Business Consulting practice provides firms with complete solutions to strengthen all aspects of their business including: internal controls, compliance procedures and overall operational competency. Our practice incorporates:

- Alternative Asset Management Specific Strategy
- Long Term Roadmap / IT Systems Blueprint
- Project Management Office / Software Development Life Cycle
- Business Process Reengineering
- Compliance Requirements/Policies and Procedures
- Vendor Selection & Management/Contract Negotiations
- Outsourcing/Selection and Due Diligence

### Systems Integration

FinServ utilizes a best-of-breed approach, identifying third-party vendors to meet our clients' specific needs. We have extensive experience with multiple systems in each product category and are able to assist clients to select and implement the best system based upon their unique needs:

- Order Management
- Trade Execution
- Risk Management
- Asset Management
- Loan Management
- Portfolio Accounting
- Investor Reporting
- Investor Management / CRM / Investor Websites
- Document Management
- ERP / GL / HRIS / Payroll / T&E

### **Systems Development**

We have proven experience in developing systems for regulation, compensation, front, middle and back office functions, and risk management. Our Systems Development methodology includes:

- Technical Documentation / Systems Specifications
- Architectural Design, Infrastructure Design
- System Design
- Data Modeling
- System Development / Coding / Testing
- Custom Training / Documentation
- Ongoing Support / Outsourcing

### **FinServ Consulting LLC/Contact Information**

Website:

[www.finservconsulting.com](http://www.finservconsulting.com)



**From the Blog**

[blog.finservconsulting.com](http://blog.finservconsulting.com)

For questions about our services, solutions, and capabilities, please call:

**All General Inquiries:**

**Howard Weinstein** - Managing Partner

✉ [hweinstein@finservconsulting.com](mailto:hweinstein@finservconsulting.com)

609.819.8022

**Front Office Practice Leader:**

**Todd Piskadlo**

✉ [tpiskadlo@finservconsulting.com](mailto:tpiskadlo@finservconsulting.com)

201.790.6400

**Middle Office Practice Leader:**

**Paul DeAngelo**

✉ [pdeangelo@finservconsulting.com](mailto:pdeangelo@finservconsulting.com)

516.994.0367

**Back Office Practice Leader:**

**Lee Schare**

✉ [lschare@finservconsulting.com](mailto:lschare@finservconsulting.com)

516.458.3851